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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Paul Frederick Koeppel et al.      Art Unit : 2836  
 Serial No. : 09/944,791      Examiner : Roberto Jose Rios Cuevas  
 Filed : August 31, 2001  
 Title : VOLTAGE RECOVERY DEVICE FOR USE WITH A UTILITY POWER  
 NETWORK

Commissioner for Patents  
 P.O. Box 1450  
 Washington, D.C. 20231

TRANSMITTAL OF PAPER COPIES OF REFERENCES

Enclosed are copies of an Information Disclosure Statement and PTO-1449 filed July 21, 2004 by facsimile. Included for reference are copies of the facsimile cover sheet and transmission report.

Also enclosed are paper copies of references listed on form PTO-1449, which was attached to the Information Disclosure Statement.

Respectfully submitted,

Frank R. Occhiuti  
Reg. No. 35,306

Date: July 23, 2004  
 Fish & Richardson P.C.  
 225 Franklin Street  
 Boston, Massachusetts 02110-2804  
 Telephone: (617) 542-5070  
 Facsimile: (617) 542-8906

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## CERTIFICATE OF MAILING BY FIRST CLASS MAIL

I hereby certify under 37 CFR §1.8(a) that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated below and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

23 July 2004

Date of Deposit

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Michelle M. Buckley

Typed or Printed Name of Person Signing Certificate

JUL 28 2004

Attorney's Docket No.: 05770-145001 / AMSC-533 D-VAR

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Alexandria, VA 22313-1450

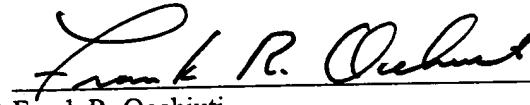
INFORMATION DISCLOSURE STATEMENT

Applicant submits reference AP listed on the attached form PTO-1449 with the facsimile transmission of this Information Disclosure Statement on July 21, 2004. Paper copies of all references, including AP, listed on the attached 1449 will be mailed to the PTO with the cover letter entitled, "Transmittal of Paper Copies of References."

This statement is being filed after a first Office action on the merits, but before receipt of a final Office action or a Notice of Allowance. Please apply a charge of \$180 as payment for the late submission fee of §1.17(p) to deposit account 06-1050, reference 05770-145001.

Respectfully submitted,

Date: July 21, 2004

  
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Reg. No. 35,306

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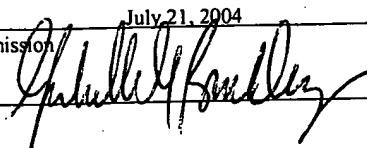
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Date of Transmission

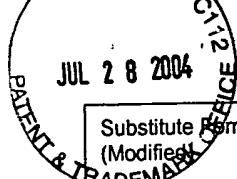
July 21, 2004

Signature

  
Michelle Buckley

Typed or Printed Name of Person Signing Certificate

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Sheet 1 of 1

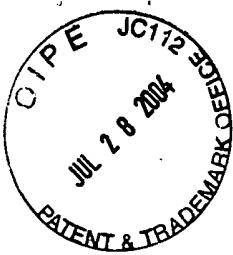
Substitute Form PTO-1449 (Modified)		U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 05770-145001	Application No. 09/944,791
<b>Information Disclosure Statement by Applicant</b> (Use several sheets if necessary) (37 CFR §1.98(b))		Applicant Paul Frederick Koeppé et al.		
		Filing Date August 31, 2001	Group Art Unit 2836	

U.S. Patent Documents							
Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
	AA	4,128,805	12/05/78	Lanz			
	AB	4,328,551	05/04/82	Yamamura et al.			
	AC	5,329,221	07/12/94	Schauder			
	AD	5,329,222	07/12/94	Gyugyi et al.			
	AE	5,343,139	08/30/94	Gyugyi et al.			
	AF	5,351,181	09/27/94	Brennan et al.			
	AG	5,541,498	07/30/96	Beckwith			
	AH	5,566,085	10/15/96	Marceau et al.			
	AI	5,610,501	03/11/97	Nelson et al.			
	AJ	5,631,545	05/20/97	Norman et al.			
	AK	5,969,509	10/19/99	Thorvaldsson			
	AL	6,359,423	03/19/02	Noro			
	AM	6,577,108	06/10/03	Hubert et al.			

Foreign Patent Documents or Published Foreign Patent Applications							
Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation
							Yes
	AN	5-30686	02/05/93	Japan			
	AO	WO96/19025	06/20/96	WIPO			

Other Documents (include Author, Title, Date, and Place of Publication)		
Examiner Initial	Desig. ID	Document
	AP	Sasaki et al., "A Study on Optimal Placement Problem of Fuel Cells into Distribution Systems, <i>T.IEEE</i> , vol. 114-B, no. 5, 1994, pp. 491-497.

Examiner Signature	Date Considered
EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	



Attorney's Docket No.: 05770-145001  
Client's Ref. No.: AMSC-533 D-VAR

## OFFICIAL COMMUNICATION FACSIMILE:

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Number of pages including this page 10

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Serial No. : 09/944,791  
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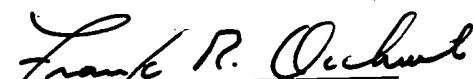
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Attached to this facsimile communication cover sheet is an Information Disclosure Statement, Form PTO-1449, and reference entitled, "A Study on the Optimal Placement Problem of Fuel Cells in Distribution Systems," faxed this 21<sup>st</sup> day of July, 2004, to the United States Patent and Trademark Office.

Respectfully submitted,

  
Frank R. Occhiuti  
Reg. No. 35,306

Date: July 21, 2004

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